



PATENT

Docket No.: UC2000-303-2 ^{FF} 3

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: J.J. GARCIA-LUNA-ACEVES; SRINIVAS VUTUKURY
Serial No.: 09/875,735 975,735
Filed: OCTOBER 10, 2001
For: METHOD FOR MAINTAINING RESERVATION STATE IN A NETWORK ROUTER AND
RESULTING SCALABLE INTEGRATED ARCHITECTURES FOR COMPUTER
NETWORKS

Group:
Examiner:

RECEIVED
FEB 07 2002
GROUP 3600

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

SUBMISSION OF MISSING PARTS OF APPLICATION
UNDER 37 C.F.R. § 1.53

Dear Sir:

In response to the Notice to File Missing Parts of Application (PTO-1533) mailed November 13, 2001, a copy of which is enclosed, please find the following documents which are incorporated by reference herein:

1. Combined Declaration and Power of Attorney;
2. Power of Attorney by Assignee and Exclusion of Inventor(s) Under 37 C.F.R. 3.71;
3. Assignee Certification Under 37 C.F.R. 3.73(b);
4. PTO Form 1449;
5. Copies of Citations Listed on PTO Form 1449;
6. Check in the amount of \$759 (\$694 filing fees and \$65 surcharge for the fees due as a small entity);
7. Assignment Cover Letter;
8. Assignment;
9. Check in the amount of \$40 (assignment recording fee).

The amount due for the filing fees and surcharge has been computed as follows:

CLAIMS AS FILED									
		Number filed		Number Extra		Rate		Basic Fee \$ 740.00	
Total									
Claims 37 CFR 1.16(c)		56	- 20	=	36	X	\$18.00	=	648.00
Independent									
Claims (37 CFR 1.16(b))		3	- 3	=	0	X	\$84.00	=	
Multiple dependent claim(s), if any (37 CFR 1.16(d))						+	\$280.00	=	

Filing Fee Calculation \$ 1,388.00

Surcharge \$ 130.00

TOTAL FILING FEE \$ 1,518.00

Small Entity Statement(s)

X Applicant qualifies as a small entity under 37 CFR 1.9 and 1.27.

Filing Fee Calculation (50% of above) \$ 759.00

Amount already paid LESS (\$)

TOTAL FILING FEES DUE \$ 759.00

X Assignment recording fee \$ 40.00

— Petition fee \$ 0

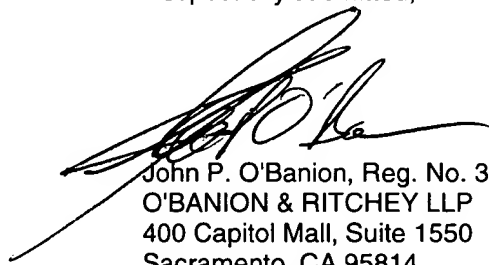
TOTAL FEES DUE \$ 799.00

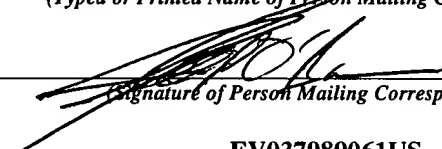
Please charge any additional fees due to deposit account no. 07-1137.

Having now complied with all of the requirements of 37 C.F.R. 1.53, the Applicants respectfully request that this application be placed upon the files for examination.

Dated: January 11, 2002.

Respectfully submitted,


John P. O'Banion, Reg. No. 33,201
O'BANION & RITCHEY LLP
400 Capitol Mall, Suite 1550
Sacramento, CA 95814
(916) 498-1010

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): J.J. GARCIA-LUNA-ACEVES; SRINIVAS VUTUKURY			Docket No. UC2000-303-2
Serial No. 09/975,735	Filing Date OCTOBER 10, 2001	Examiner	Group Art Unit 2661
Invention: METHOD FOR MAINTAINING RESERVATION STATE IN A NETWORK ROUTER AND RESULTING SCALABLE INTEGRATED ARCHITECTURES FOR COMPUTER NETWORKS			
<div>RECEIVED FEB 07 2002 GROUP 3600</div> <div>JAN 11 2002 PATENT & TRADEMARK OFFICE JC196</div>			
I hereby certify that the following correspondence:			
<div>Assignee Certification (Page 1)</div>			
(Identify type of correspondence)			
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on <u>January 11, 2002</u> (Date)			
<div>JOHN P. O'BANION (Typed or Printed Name of Person Mailing Correspondence)</div> <div> (Signature of Person Mailing Correspondence)</div> <div>EV037989061US ("Express Mail" Mailing Label Number)</div>			
Note: Each paper must have its own certificate of mailing.			

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **J.J. GARCIA-LUNA-ACEVES; SRINIVAS VUTUKURY**

Docket No.

UC2000-303-2

Serial No.

09/975,735

Filing Date

OCTOBER 10, 2001

Examiner

Group Art Unit

2661Invention: **METHOD FOR MAINTAINING RESERVATION STATE IN A NETWORK ROUTER AND RESULTING
SCALABLE INTEGRATED ARCHITECTURES FOR COMPUTER NETWORKS**

JAN 11 2002

I hereby certify that the following correspondence:

Power of attorney by assignee and exclusion of inventors (Page 1)*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

January 11, 2002*(Date)***JOHN P. O'BANION***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***EV037989061US***("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): J.J. GARCIA-LUNA-ACEVES; SRINIVAS VUTUKURY

Docket No.

UC2000-303-2

Serial No.

09/975,735

Filing Date

OCTOBER 10, 2001

Examiner

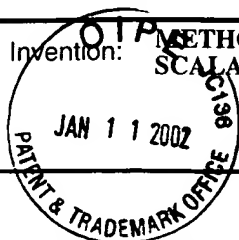
Group Art Unit

2661

Invention:

METHOD FOR MAINTAINING RESERVATION STATE IN A NETWORK ROUTER AND RESULTING
SCALABLE INTEGRATED ARCHITECTURES FOR COMPUTER NETWORKS**RECEIVED**

FEB 07 2002

**GROUP 3600**

I hereby certify that the following correspondence:

Combined declaration and power of attorney (Page 1 thru 5)

(Identify type of correspondence)

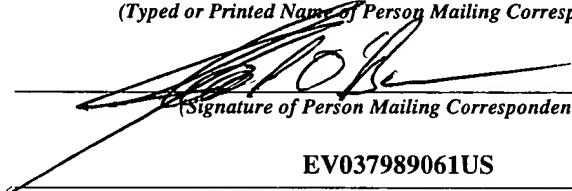
is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

January 11, 2002

(Date)

JOHN P. O'BANION

(Typed or Printed Name of Person Mailing Correspondence)


(Signature of Person Mailing Correspondence)**EV037989061US**

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **D. GARCIA-LUNA-ACEVES; SRINIVAS VUTUKURY**

Docket No.

UC2000-303-2

Serial No.
09/98 735
JAN 11 2002
RECEIVED
FEB 7 2002
GROUP 3600
OFFICE \$5.00Filing Date
OCTOBER 10, 2001

Examiner

Group Art Unit
2661Invention: **METHOD FOR MAINTAINING RESERVATION STATE IN A NETWORK ROUTER AND RESULTING
SCALABLE INTEGRATED ARCHITECTURES FOR COMPUTER NETWORKS**

I hereby certify that the following correspondence:

Submission of missing parts of application (Page 1 & 2)

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

January 11, 2002

(Date)

JOHN P. O'BANION

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

EV037989061US

("Express Mail" Mailing Label Number)

Note: Each paper must have its own certificate of mailing.

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)Applicant(s): **J.J. GARCIA-LUNA-ACEVES; SRINIVAS VUTUKURY**

Docket No.

UC2000-303-2

Serial No.

09/975,735

Filing Date

OCTOBER 10, 2001

Examiner

Group Art Unit

2661

Invention:

**METHOD FOR MAINTAINING RESERVATION STATE IN A NETWORK ROUTER AND RESULTING
SCALABLE INTEGRATED ARCHITECTURES FOR COMPUTER NETWORKS**

JAN 11 2002

PATENT & TRADEMARK OFFICE 95

**RECEIVED
FEB 07 2002
GROUP 3600**

I hereby certify that the following correspondence:

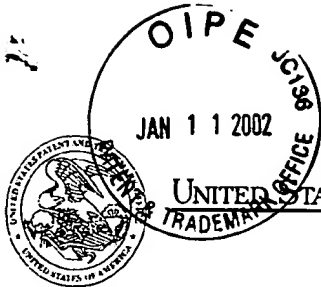
Notice to file missing parts of nonprovisional application (Page 1)

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

January 11, 2002*(Date)***JOHN P. O'BANION***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***EV037989061US***("Express Mail" Mailing Label Number)*

Note: Each paper must have its own certificate of mailing.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/975,735	10/10/2001	J.J. Garcia-Luna- Aceves	UC2000-303-2

CONFIRMATION NO. 9385

FORMALITIES LETTER



OC000000007060342

John P. O'Banion
O'BANION & RITCHEY LLP
Suite 1550
400 Capitol Mall
Sacramento, CA 95814

RECEIVED
FEB 07 2002
GROUP 3600

Date Mailed: 11/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

02/25/2002 TVD111 00000018 09975735

FILED UNDER 37 CFR 1.53(b)

01 FC:201	370.00 OP
02 FC:203	324.00 OP
03 FC:205	65.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$324.
■ \$324 for 36 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 759.

01/22/2002 SSANDARA 00000049 09875735

01 FC:201	370.00 OP
02 FC:203	324.00 OP
03 FC:205	65.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Adjustment date: 02/25/2002 TVD111

01/22/2002 SSANDARA 00000049 09875735

01 FC:201	370.00 OP
02 FC:203	324.00 OP
03 FC:205	65.00 OP

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE